

# On extremal graphs with exactly one Steiner tree connecting any $k$ vertices\*

Xueliang Li, Yan Zhao

Center for Combinatorics and LPMC-TJKLC

Nankai University, Tianjin 300071, China

lxl@nankai.edu.cn; zhaoyan2010@mail.nankai.edu.cn

## Abstract

The problem of determining the largest number  $f(n; \overline{\kappa} \leq \ell)$  of edges for graphs with  $n$  vertices and maximal local connectivity at most  $\ell$  was considered by Bollobás. Li et al. studied the largest number  $f(n; \overline{\kappa}_3 \leq 2)$  of edges for graphs with  $n$  vertices and at most two internally disjoint Steiner trees connecting any three vertices. In this paper, we further study the largest number  $f(n; \overline{\kappa}_k = 1)$  of edges for graphs with  $n$  vertices and exactly one Steiner tree connecting any  $k$  vertices with  $k \geq 3$ . It turns out that this is not an easy task to finish, not like the same problem for the classical connectivity parameter. We determine the exact values of  $f(n; \overline{\kappa}_k = 1)$  for  $k = 3, 4, n$ , respectively, and characterize the graphs which attain each of these values.

**Keywords:** maximal generalized local connectivity, internally disjoint Steiner trees.

**AMS subject classification 2010:** 05C05, 05C40, 05C75.

## 1 Introduction

All graphs considered in this paper are simple, finite and undirected. We follow the terminology and notation of Bondy and Murty [3]. We refer to the number of vertices in a graph as the *order* of the graph and the number of its edges as its *size*. We say that two paths are *internally disjoint* if they have no common vertex except the end vertices. For any two distinct vertices  $u$  and  $v$  in a graph  $G$ , the *local connectivity*  $\kappa_G(u, v)$  is the maximum number of internally disjoint paths connecting  $u$  and  $v$ . Then the connectivity of  $G$  is defined as  $\kappa(G) = \min\{\kappa_G(u, v) : u, v \in V(G), u \neq v\}$ ; whereas

---

\*Supported by NSFC No.11071130.

$\bar{\kappa}(G) = \max\{\kappa_G(u, v) : u, v \in V(G), u \neq v\}$  is called the *maximal local connectivity* of  $G$ , introduced by Bollobás.

Bollobás [1] considered the problem of determining the largest number  $f(n; \bar{\kappa} \leq \ell)$  of edges for graphs with  $n$  vertices and maximal local connectivity at most  $\ell$ . In other words,  $f(n; \bar{\kappa} \leq \ell) = \max\{e(G) : |V(G)| = n \text{ and } \bar{\kappa}(G) \leq \ell\}$ . Determining the exact value of  $f(n; \bar{\kappa} \leq \ell)$  has got a great attention and many results have been worked out, see [1, 2, 5, 6, 7, 11, 12, 14].

For a graph  $G(V, E)$  and a subset  $S$  of  $V$  where  $|S| \geq 2$ , an  *$S$ -Steiner tree* or a *Steiner tree connecting  $S$*  is a subgraph  $T(V', E')$  of  $G$  which is a tree such that  $S \subseteq V'$ . Two  $S$ -Steiner trees  $T_1$  and  $T_2$  are called *internally disjoint* if  $E(T_1) \cap E(T_2) = \emptyset$  and  $V(T_1) \cap V(T_2) = S$ . Note that  $T_1$  and  $T_2$  are vertex-disjoint in  $G \setminus S$ . For  $S \subseteq V$ , the *generalized local connectivity*  $\kappa(S)$  is the maximum number of internally disjoint trees connecting  $S$  in  $G$ . The *generalized  $k$ -connectivity* is defined as  $\kappa_k(G) = \min\{\kappa(S) : S \subseteq V(G), |S| = k\}$ , which was introduced by Chartrand et al. in 1984 [4]. Some results have been worked out on the generalized connectivity, we refer the reader to [9, 10] for details.

In analogue to the classical maximal local connectivity, another parameter  $\bar{\kappa}_k(G) = \max\{\kappa(S) : S \subseteq V(G), |S| = k\}$ , called the *maximal generalized local connectivity* of  $G$ , was introduced in [8]. The authors studied the largest number  $f(n; \bar{\kappa}_3 \leq 2)$  of edges for graphs with  $n$  vertices and at most two internally disjoint Steiner trees connecting any three vertices.

In this paper, we will study the problem of determining the largest number  $f(n; \bar{\kappa}_k = 1)$  of edges for graphs with  $n$  vertices and maximal generalized local connectivity exactly equal to 1, that is,  $f(n; \bar{\kappa}_k = 1) = \max\{e(G) : |V(G)| = n \text{ and } \bar{\kappa}_k(G) = 1\}$ . It is easy to see that for  $k = 2$ ,  $f(n; \bar{\kappa} = 1) = n - 1$ , and if a graph  $G$  satisfies  $\bar{\kappa}(G) = 1$ , then  $G$  must be a tree. It turns out that for  $k \geq 3$ , the problem is not easy to attack.

This paper is organized as follows. In Section 2, we introduce a graph operation to describe three graph classes. In Section 3, we first estimate the exact value of  $f(n; \bar{\kappa}_3 = 1)$ , that is,  $f(n; \bar{\kappa}_3 = 1) = \frac{4n-3-r}{3}$  for  $n = 3r + q$ ,  $0 \leq q \leq 2$ . Then, in Section 4, we determine  $f(n; \bar{\kappa}_4 = 1)$  for  $n = 4r + q$ ,  $0 \leq q \leq 3$ . Finally, in Section 5,  $f(n; \bar{\kappa}_n = 1)$  is determined to be  $\binom{n-1}{2} + 1$ . Furthermore, we characterize extremal graphs attaining each of these values. For general  $k$ , we get the lower bound of  $f(n; \bar{\kappa}_k = 1)$  by constructing extremal graphs for  $n = r(k - 1) + q$ ,  $0 \leq q \leq k - 2$ .

## 2 Preliminaries

In this section, we first give some definitions frequently used in the sequel, and then introduce a graph operation to describe three graph classes.

For a graph  $G$ , we say a path  $P = u_1 u_2 \cdots u_q$  is an *ear* of  $G$  if  $V(G) \cap V(P) = \{u_1, u_q\}$ . If  $u_1 \neq u_q$ ,  $P$  is an *open ear*; otherwise  $P$  is a *closed ear*. By  $\ell(P)$  we denote the length

of  $P$  and  $C_q$  a cycle on  $q$  vertices.

Let  $H_1$  and  $H_2$  be two connected graphs. We obtain a graph  $H_1 + H_2$  from  $H_1$  and  $H_2$  by joining an edge  $uv$  between  $H_1$  and  $H_2$  where  $u \in H_1, v \in H_2$ . We call this operation the *adding operation*.

$\{C_3\}^i + \{C_4\}^j + \{C_5\}^k + \{K_1\}^\ell$  is a class of connected graphs obtained from  $i$  copies of  $C_3$ ,  $j$  copies of  $C_4$ ,  $k$  copies of  $C_5$  and  $\ell$  copies of  $K_1$  by the adding operations such that  $0 \leq i \leq \lfloor \frac{n}{3} \rfloor$ ,  $0 \leq j \leq 2$ ,  $0 \leq k \leq 1$ ,  $0 \leq \ell \leq 2$  and  $3i + 4j + 5k + \ell = n$ . Note that these operations are taken in an arbitrary order.

Let  $n = 3r + q$ ,  $0 \leq q \leq 2$ . If  $q = 0$ ,  $\mathcal{G}_n^0 = \{C_3\}^r$ . If  $q = 1$ ,  $\mathcal{G}_n^1 = \{C_3\}^r + K_1$  or  $\{C_3\}^{r-1} + C_4$ . If  $q = 2$ ,  $\mathcal{G}_n^2 = \{C_3\}^r + \{K_1\}^2$  or  $\{C_3\}^{r-1} + C_4 + K_1$  or  $\{C_3\}^{r-1} + C_5$  or  $\{C_3\}^{r-2} + \{C_4\}^2$ .

Let  $A, B, D_1, D_2, D_3, F_1, F_2, F_3, F_4$  be the graphs shown in Figure 1.

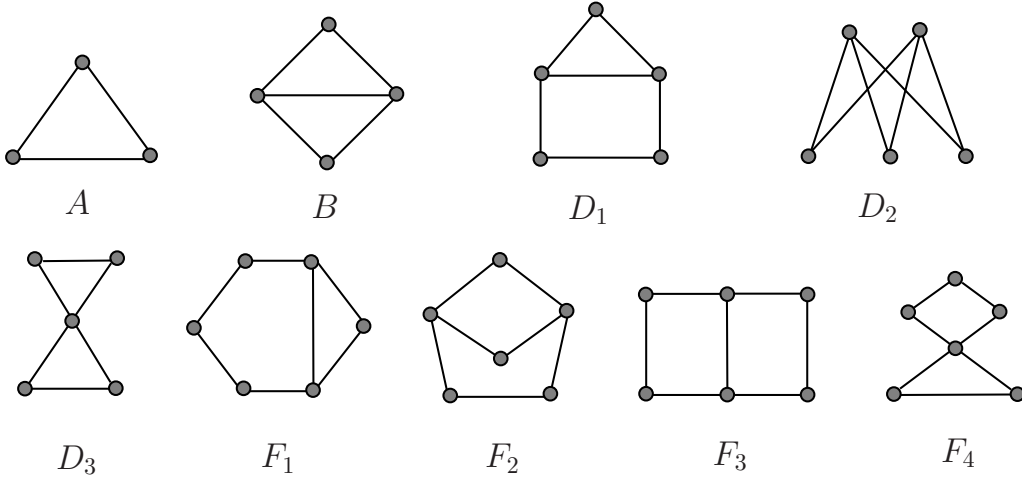


Figure 1. The graphs used for the second graph class

$\{A\}^{i_0} + \{B\}^{i_1} + \{D_1\}^{i_2} + \{D_2\}^{i_3} + \{D_3\}^{i_4} + \{F_1\}^{i_5} + \{F_2\}^{i_6} + \{F_3\}^{i_7} + \{F_4\}^{i_8} + \{K_1\}^{i_9}$  is composed of another connected graph class by the adding operations such that (1)  $0 \leq i_0 \leq 2$ ,  $0 \leq i_1 \leq \lfloor \frac{n}{4} \rfloor$ ,  $0 \leq i_2 + i_3 + i_4 \leq 2$ ,  $0 \leq i_5 + i_6 + i_7 + i_8 \leq 1$ ,  $0 \leq i_9 \leq 2$ ; (2)  $D_i$  and  $F_j$  are not simultaneously in a graph belonging to this graph class where  $1 \leq i \leq 3$ ,  $1 \leq j \leq 4$ ; (3)  $3i_0 + 4i_1 + 5(i_2 + i_3 + i_4) + 6(i_5 + i_6 + i_7 + i_8) + i_9 = n$ .

Let  $n = 4r + q$ ,  $0 \leq q \leq 3$ . If  $q = 0$ ,  $\mathcal{H}_n^0 = \{B\}^r$ . If  $q = 1$ ,  $\mathcal{H}_n^1 = \{B\}^r + K_1$  or  $\{B\}^{r-1} + D_i$  ( $1 \leq i \leq 3$ ). If  $q = 2$ ,  $\mathcal{H}_n^2 = \{B\}^r + \{K_1\}^2$  or  $\{B\}^{r-1} + \{A\}^2$  or  $\{B\}^{r-1} + D_i + K_1$  or  $\{B\}^{r-2} + D_i + D_j$  ( $1 \leq i, j \leq 3$ ) or  $\{B\}^{r-1} + F_i$  ( $1 \leq i \leq 4$ ). If  $q = 3$ ,  $\mathcal{H}_n^3 = \{B\}^r + A$ .

Define the third graph class as follows: for  $n = 5$ ,  $\mathcal{K}_5 = \{G : |V(G)| = 5, e(G) = 7\}$ ; for  $n \geq 6$ ,  $\mathcal{K}_n = K_{n-1} + K_1$ .

The following observation is obvious.

**Observation 1.** Let  $G$  and  $G'$  be two connected graphs. If  $G'$  is a subgraph of  $G$  and  $\bar{\kappa}_k(G') \geq 2$ , then  $\bar{\kappa}_k(G) \geq 2$ .

Next we state a famous theorem which is fundamental for calculating the number of edge-disjoint spanning trees and getting from it a useful lemma for our following results.

**Theorem 1.** (Nash-Williams [13], Tutte [15]) *A multigraph contains  $k$  edge-disjoint spanning trees if and only if for every partition  $\mathcal{P}$  of its vertex sets it has at least  $k(|\mathcal{P}| - 1)$  cross-edges, whose ends lie in different partition sets.*

**Lemma 1.** *Let  $M$  be an edge set of  $K_n$  ( $n \geq 5$ ) where  $0 \leq |M| \leq n - 3$ , and  $G$  be a graph obtained from  $K_n$  by deleting  $M$ . Then  $G$  contains two edge-disjoint spanning trees.*

*Proof.* Let  $\mathcal{P}$  be a partition of  $V(G)$  into  $p$  sets  $V_1, V_2, \dots, V_p$  where  $1 \leq p \leq n$ , and let  $\mathcal{E}$  represent the cross-edges. Set  $|V_i| = n_i$ ,  $1 \leq i \leq p$ . If  $p = 1$  then this case is trivial, so we suppose next that  $2 \leq p \leq n$ . By Theorem 1, in order to obtain two edge-disjoint spanning trees, we only need to prove that the inequality  $|\mathcal{E}| \geq \binom{n}{2} - \sum_{i=1}^p \binom{n_i}{2} - |M| \geq 2(p - 1)$ , that is equivalent to saying that  $\binom{n}{2} - |M| - 2(p - 1) \geq \sum_{i=1}^p \binom{n_i}{2}$  holds. As  $|M| \leq n - 3$ , and  $\sum_{i=1}^p \binom{n_i}{2}$  attains the maximum value  $\binom{n-p+1}{2}$  by  $n_i = n - (p - 1)$  and  $n_j = 1$  where  $j \neq i$ . We only need to prove that  $\binom{n}{2} - (n - 3) - 2(p - 1) \geq \binom{n-p+1}{2}$  holds. Let  $f(n, p) = \binom{n}{2} - (n - 3) - 2(p - 1) - \binom{n-p+1}{2}$ . Our aim is to prove that  $f(n, p) \geq 0$ .  $f(n, p) = \binom{n-1}{2} - 2(p-2) - \binom{n-p+1}{2} = \frac{1}{2}(n-1)(n-2) - 2(p-2) - \frac{1}{2}[(n-1) - (p-2)](n-p) = \frac{1}{2}[(n-1)(p-2) + (p-2)(n-p-4)] = \frac{1}{2}(p-2)(2n-p-5)$ . Since  $2 \leq p \leq n$  and  $n \geq 5$ , it follows immediately that  $f(n, p) \geq 0$ .  $\square$

### 3 The case $k = 3$

We consider the case  $k = 3$  in this section. At first, we begin with a necessary and sufficient condition for  $\bar{\kappa}_3(G) = 1$ .

**Proposition 1.** Let  $G$  be a connected graph. Then  $\bar{\kappa}_3(G) = 1$  if and only if every cycle in  $G$  has no ear.

*Proof.* To settle the “only if” part, assume, to the contrary, that  $C$  is a cycle in  $G$  and  $P$  is an ear of  $C$ . Set  $V(C) \cap V(P) = \{u, v\}$  where  $u$  and  $v$  may be the same vertex. If  $\ell(P) = 1$ , then  $P$  is an open ear, pick a vertex from  $uCv$  and  $vCu$  respectively, say  $u_1$  and  $u_2$ ,  $T_1 = u_2Cu_1$  and  $T_2 = u_1Cu_2 \cup uv$  are two internally disjoint trees connecting  $\{u, u_1, u_2\}$ , a contradiction to  $\bar{\kappa}_3(G) = 1$ . If  $\ell(P) \geq 2$ , pick up a vertex in  $C \setminus \{u, v\}$  and  $P \setminus \{u, v\}$ , respectively, say  $u_1$  and  $u_2$ , then there are also two internally disjoint trees connecting  $\{u, u_1, u_2\}$ , another contradiction.

To prove the “if” part, let  $S$  be a set of any three vertices. We need to prove that  $\kappa_3(S) = 1$ . Since every cycle in  $G$  has no ear, then every maximal bridgeless subgraph of  $G$  is a cycle and each edge incident with it is a cut edge. If two vertices in  $S$  belong to different cycles  $C_1$  and  $C_2$ , then it is immediate to check that only one tree connects  $S$ , since the cut edge in the path from  $C_1$  to  $C_2$  can be used only once. If three vertices in  $S$  belong to a cycle, then it is immediate to see that only one tree connects  $S$ . Thus  $\bar{\kappa}_3(G) = 1$ .  $\square$

**Lemma 2.** *Let  $G$  be a connected graph of order 5 and size at least 6. Then  $\bar{\kappa}_3(G) \geq 2$ .*

*Proof.* Let  $H$  be a connected spanning subgraph of  $G$  and  $H$  has size exactly 6. Since the possible connected graphs of order 5 and size 6 are  $D_1$ ,  $D_2$ ,  $D_3$  and  $B + K_1$ , it is easy to see that each of these graphs has a cycle with an ear. Then by Proposition 1,  $\bar{\kappa}_3(H) \geq 2$  follows. By Observation 1, it follows that  $\bar{\kappa}_3(G) \geq 2$ .  $\square$

**Theorem 2.** *Let  $n = 3r + q$ , where  $0 \leq q \leq 2$ , and let  $G$  be a connected graph of order  $n$  such that  $\bar{\kappa}_3(G) = 1$ . Then  $e(G) \leq \frac{4n-3-q}{3}$ , with equality if and only if  $G \in \mathcal{G}_n^q$ .*

*Proof.* We apply induction on  $n$ . For  $n = 3$ ,  $e(G) \leq 3$ , and let  $G = C_3 \in \mathcal{G}_n^0$ . For  $n = 4$ , if  $G = K_4 \setminus e$ , then there exists a cycle  $C_3$  with an open ear of length 2, which contradicts to Proposition 1. Similarly,  $G \neq K_4$ . So  $G$  is obtained from  $K_4$  by deleting two edges arbitrarily, that is,  $G = C_3 + K_1$  or  $C_4$ , and then  $G \in \mathcal{G}_n^1$ . For  $n = 5$ , by Lemma 2,  $e(G) \leq 5$  and if  $e(G) = 5$ , then  $G = C_3 + \{K_1\}^2$  or  $C_4 + K_1$  or  $C_5$ , and then  $G \in \mathcal{G}_n^2$ . Let  $n \geq 6$ . Assume that the assertion holds for graphs of order less than  $n$ . We will show that the assertion holds for graphs of order  $n$ . We distinguish two cases according to whether  $G$  has cut edges.

If  $G$  has no cut edge, then  $G$  is bridgeless, and combining with Proposition 1,  $G$  is a cycle. Then  $e(G) = n < \frac{4n-5}{3}$ , since  $n \geq 6$ .

Suppose that there exists at least one cut edge in  $G$ . Pick up one, say  $e$ . Let  $G_1$  and  $G_2$  be two connected components of  $G \setminus e$ . Set  $V(G_1) = n_1$ ,  $V(G_2) = n_2$  where  $n_1 + n_2 = n$ . Clearly,  $e(G) = e(G_1) + e(G_2) + 1$ . Furthermore, set  $n_1 \equiv q_1 \pmod{3}$ ,  $n_2 \equiv q_2 \pmod{3}$  where  $q_1, q_2 \in \{0, 1, 2\}$ .

If  $q_1 = 0$  or  $q_2 = 0$ , without loss of generality, say  $q_1 = 0$ . By induction hypothesis,  $e(G_1) \leq \frac{4n_1-3}{3}$ ,  $e(G_2) \leq \frac{4n_2-3-q_2}{3}$ . If  $e(G_1) < \frac{4n_1-3}{3}$  or  $e(G_2) < \frac{4n_2-3-q_2}{3}$ , then  $e(G) < \frac{4n-3-q_2}{3}$ . If  $e(G_1) = \frac{4n_1-3}{3}$  and  $e(G_2) = \frac{4n_2-3-q_2}{3}$ , then by induction hypothesis,  $G_1 \in \mathcal{G}_{n_1}^0$ ,  $G_2 \in \mathcal{G}_{n_2}^{q_2}$ . It follows that  $G = G_1 + G_2 \in \mathcal{G}_n^{q_2}$  and  $e(G) = \frac{4n-3-q_2}{3}$ .

If  $q_1 = 1$  and  $q_2 = 1$ , by hypothesis induction,  $e(G_1) \leq \frac{4n_1-4}{3}$ ,  $e(G_2) \leq \frac{4n_2-4}{3}$ . If  $e(G_1) < \frac{4n_1-4}{3}$  or  $e(G_2) < \frac{4n_2-4}{3}$ , then  $e(G) < \frac{4n-5}{3}$ . If  $e(G_1) = \frac{4n_1-4}{3}$  and  $e(G_2) = \frac{4n_2-4}{3}$ , then by induction hypothesis,  $G_1 \in \mathcal{G}_{n_1}^1$ ,  $G_2 \in \mathcal{G}_{n_2}^1$ . It follows that  $G \in \mathcal{G}_n^2$  and  $e(G) = \frac{4n-5}{3}$ .

If  $q_1 = \{1, 2\}$  and  $q_2 = 2$ , then  $e(G_1) \leq \frac{4n_1-3-q_1}{3}$  and  $e(G_2) \leq \frac{4n_2-5}{3}$ . Thus  $e(G) \leq \frac{4n-5-q_1}{3} < \frac{4n-2-q_1}{3}$ .  $\square$

So, we get the following result for  $k = 3$ .

**Theorem 3.**  $f(n; \bar{\kappa}_3 = 1) = \frac{4n-3-q}{3}$ , where  $n = 3r + q$  and  $0 \leq q \leq 2$ .

## 4 The case $k = 4$

In this section, we turn to consider the case that  $k = 4$ . Similarly, we will give a necessary and sufficient condition for  $\bar{\kappa}_4(G) = 1$ . First of all, we begin with a claim useful for simplifying our argument. Let  $P_1 = u_1w_1w_2 \cdots w_kv_1$  be an ear of a cycle  $C$ . Set  $H = C \cup P_1$  and add another ear  $P_2 = u_2w'_1w'_2 \cdots w'_lv_2$  to  $H$ . We claim that there is always a cycle  $C'$  in  $H \cup P_2$  which has two ears. If  $u_2, v_2 \in V(C)$ , then  $C' = C_1^*$ . If  $u_2, v_2 \in V(P_1)$ , then  $C' = C_2^*$ . If  $u_2 \in v_1Cu_1$ ,  $v_2 \in V(P_1)$  and  $P_1$  is an open ear, then  $C' = C_3^*$ . If  $u_2 \in v_1Cu_1$ ,  $v_2 \in V(P_1)$  and  $P_1$  is a closed ear, then  $C' = C_4^*$ .  $C_i^*$  is shown in Figure 2 for  $1 \leq i \leq 4$ .

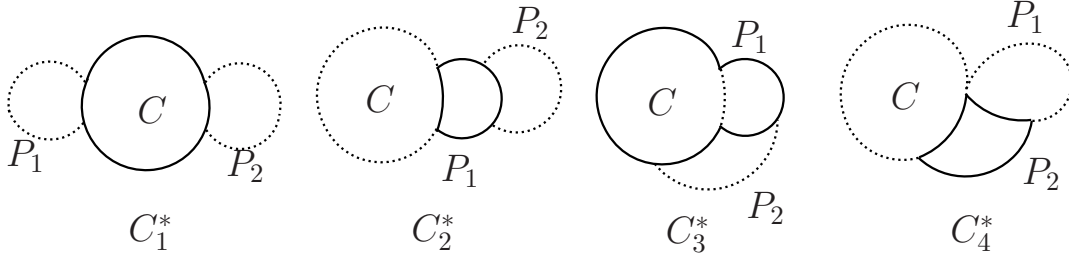


Figure 2.  $C_i^*$

**Proposition 2.** Let  $G$  be a connected graph. Then  $\bar{\kappa}_4(G) = 1$  if and only if every cycle in  $G$  has at most one ear.

*Proof.* To settle the “only if” part, let  $C$  be a cycle in  $G$ . Assume, to the contrary, that  $C$  has two ears  $P_1$  and  $P_2$ . In Figure 3, we list all cases that  $C$  has two ears. The marked dots are the chosen four vertices, and different trees are marked with different lines. Note that if an ear  $P$  of  $C$  has length 1, then it together with a segment of  $C$  forms a cycle, and we can replace it with the according segment such that an ear of a cycle has length at least 2. From Figure 3, we can find two internally disjoint trees connecting four vertices in  $G$ , a contradiction.

To prove the “if” part, since every maximal bridgeless subgraph of  $G$  is a cycle  $C$  or  $C \cup P$ , where  $P$  is an ear of  $C$ , then every edge incident to a maximal bridgeless subgraph of  $G$  is a cut edge of  $G$ . Similar to Proposition 1, it is easy to check that only one tree connects every four vertices in  $G$ , and so  $\bar{\kappa}_4(G) = 1$ .  $\square$

**Lemma 3.** Let  $G$  be a connected graph of order 5 and size 6. Then  $G \in \{B+K_1, D_1, D_2, D_3\}$  and  $\bar{\kappa}_4(G) = 1$ .

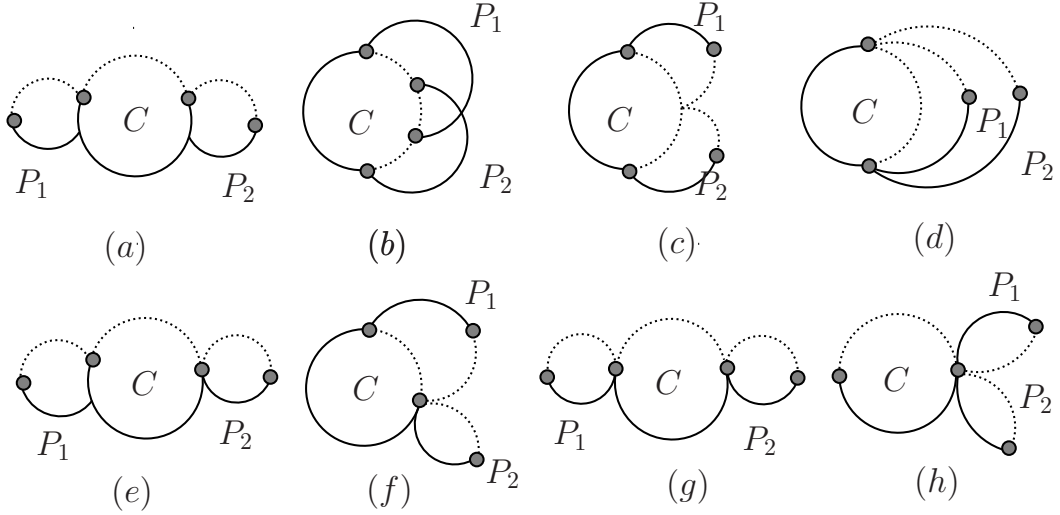


Figure 3. Graphs for Proposition 2

*Proof.* We can easily get that  $\delta(G) \leq 2$ ; otherwise  $e(G) \geq \frac{3n}{2} = \frac{15}{2}$ . If  $\delta(G) = 1$ , by deleting a vertex of degree one, say  $v$ , we obtain a graph  $G^* = K_4 \setminus e$ . Observe that  $G^* + K_1$  has no cycle with two ears. Thus by Proposition 2  $\bar{\kappa}_4(G) = 1$ .

Suppose that  $\delta(G) = 2$ , without loss of generality, let  $d(v) = 2$ . Then  $G \setminus v$  is  $C_4$  or  $C_3 + K_1$ . Adding  $v$  back, there are four graphs  $D_1, D_2, D_3$  or  $B + K_1$ , and for each of the graphs,  $\bar{\kappa}_4(G) = 1$ .  $\square$

**Lemma 4.** *Let  $G$  be a connected graph of order 5 and size at least 7. Then  $\bar{\kappa}_4(G) \geq 2$ .*

*Proof.* By Lemma 1, we need to check the case that  $G$  has order 5 and size exactly 7. First, similar to Lemma 3,  $\delta(G) \leq 2$ . Suppose that  $\delta(G) = 1$ , without loss of generality, let  $d(v) = 1$ . Then  $|V(G \setminus v)| = 4$  and  $e(G \setminus v) = 6$ , which implies that  $G \setminus v$  is  $K_4$ . Then there are two internally disjoint trees connecting the four vertices of the clique  $K_4$ . It follows that  $\bar{\kappa}_4(G \setminus v) \geq 2$ , and hence  $\bar{\kappa}_4(G) \geq 2$ .

If  $\delta(G) = 2$ , suppose that  $v$  has degree 2, then  $|V(G \setminus v)| = 4$  and  $e(G \setminus v) = 5$ , giving that  $G \setminus v$  is  $K_4 \setminus e$ . Adding  $v$  again, the graph  $G$  has a cycle with two ears, and by Proposition 2,  $\bar{\kappa}_4(G) \geq 2$ .  $\square$

**Lemma 5.** *Let  $G$  be a connected graph of order 6 and size 7. Then  $G \in \{B + \{K_1\}^2, F_1, F_2, F_3, F_4\}$  and  $\bar{\kappa}_4(G) = 1$ .*

*Proof.* Obviously,  $\delta(G) \leq 2$ . If  $\delta(G) = 1$ , by deleting a vertex of degree one we get the graphs in Lemma 3. Adding  $v$  again, it is easy to check that  $\bar{\kappa}_4(G) = 1$ .

If  $\delta(G) = 2$ , without loss of generality, let  $d(v) = 2$ , then  $|V(G \setminus v)| = 5$  and  $e(G \setminus v) = 5$ . Then  $G \setminus v$  is  $C_5$  or  $C_4 + K_1$  or  $K_3 + \{K_1\}^2$ . Adding  $v$  again, the graph  $G$  belongs to

$\{B + \{K_1\}^2, F_1, F_2, F_3, F_4\}$ , and for each of the graphs, it is easy to check that  $\bar{\kappa}_4(G) = 1$ .  $\square$

**Lemma 6.** *Let  $G$  be a connected graph of order 6 and size at least 8. Then  $\bar{\kappa}_4(G) \geq 2$ .*

*Proof.* We can easily get that  $\delta(G) \leq 2$ ; otherwise  $e(G) \geq \frac{3n}{2} = 9$ . If  $\delta(G) = 1$ , we delete a vertex of degree one to get a graph of order 5 and size at least 7. Then by Lemma 4, it follows that  $\bar{\kappa}_4(G) \geq 2$ .

If  $\delta(G) = 2$ , without loss of generality, let  $d(v) = 2$ , then  $|V(G \setminus v)| = 5$  and  $e(G \setminus v) \geq 6$ . If  $e(G \setminus v) \geq 7$ , by Lemma 4,  $\bar{\kappa}_4(G \setminus v) \geq 2$ , and then  $\bar{\kappa}_4(G) \geq 2$ . So we remain to check the case  $|V(G \setminus v)| = 5$  and  $e(G \setminus v) = 6$ , which implies that  $G \setminus v$  is one of the graphs in Lemma 3. Adding  $v$  again, there is a cycle with two ears, and by Proposition 2,  $\bar{\kappa}_4(G) \geq 2$ .  $\square$

**Theorem 4.** *Let  $n = 4r + q$ , where  $0 \leq q \leq 3$ , and let  $G$  be a connected graph of order  $n$  such that  $\bar{\kappa}_4(G) = 1$ . Then*

$$e(G) \leq \begin{cases} \frac{3n-2}{2} & \text{if } q = 0, \\ \frac{3n-3}{2} & \text{if } q = 1, \\ \frac{3n-4}{2} & \text{if } q = 2, \\ \frac{3n-3}{2} & \text{if } q = 3. \end{cases}$$

*with equality if and only if  $G \in \mathcal{H}_n^q$ .*

*Proof.* We apply induction on  $n$ . For  $n = 4$ , it is easy to see that  $e(G) \leq 5$  and if  $e(G) = 5$ , and then  $G = B \in \mathcal{H}_n^0$ . For  $n = 5$ , if  $G$  is a connected graph of order 5 and size at least 7, then  $\bar{\kappa}_4(G) \geq 2$  by Lemma 4. In other cases, either  $e(G) \leq 5$  or  $G \in \mathcal{H}_n^1$  by Lemma 3. For  $n = 6$ , if  $G$  is a connected graph of order 6 and size at least 8, then  $\bar{\kappa}_4(G) \geq 2$  by Lemma 6. In other cases, either  $e(G) \leq 6$  or  $G \in \mathcal{H}_n^2$  by Lemma 5. Let  $n \geq 7$ , and suppose that the assertion holds for graphs of order less than  $n$ . We show that the assertion holds for graphs of order  $n$ . We divide into two cases according to whether  $G$  has cut edges.

If  $G$  has no cut edge, then  $G$  is bridgeless, and combining with Proposition 2,  $G$  is a cycle or a cycle with an ear. If  $G$  is a cycle, then  $e(G) = n < \frac{3n-4}{2}$ , since  $n \geq 7$ . If  $G$  is a cycle with an ear, then  $e(G) = n + 1 < \frac{3n-4}{2}$ , since  $n \geq 7$ .

Suppose that  $G$  has cut edges. Without loss of generality, let  $e$  be a cut edge. Let  $G_1$  and  $G_2$  be two connected components of  $G \setminus e$ . Set  $V(G_1) = n_1$ ,  $V(G_2) = n_2$  where  $n_1 + n_2 = n$ . Clearly,  $e(G) = e(G_1) + e(G_2) + 1$ . Furthermore, set  $n_1 \equiv q_1 \pmod{4}$ ,  $n_2 \equiv q_2 \pmod{4}$  where  $q_1, q_2 \in \{0, 1, 2, 3\}$ .

If  $q_1 = 0$ ,  $q_2 \in \{0, 1, 2\}$  or  $q_1 = 1$ ,  $q_2 = 1$ , by induction hypothesis,  $e(G_1) \leq \frac{3n_1-2-q_1}{2}$ ,  $e(G_2) \leq \frac{3n_2-2-q_2}{2}$ . If  $e(G_1) < \frac{3n_1-2-q_1}{2}$  or  $e(G_2) < \frac{3n_2-2-q_2}{2}$ , then  $e(G) < \frac{3n-2-q_1-q_2}{2}$ . If  $e(G_1) = \frac{3n_1-2-q_1}{2}$  and  $e(G_2) = \frac{3n_2-2-q_2}{2}$ , then by induction hypothesis,  $G_1 \in \mathcal{H}_{n_1}^{q_1}$ ,  $G_2 \in \mathcal{H}_{n_2}^{q_2}$ , and it follows that  $G = G_1 + G_2 \in \mathcal{H}_n^{q_1+q_2}$  and  $e(G) = \frac{3n-2-q_1-q_2}{2}$ .



If  $q_1 = 0, q_2 = 3$ , by induction hypothesis,  $e(G_1) \leq \frac{3n_1-2}{2}$ ,  $e(G_2) \leq \frac{3n_2-3}{2}$ . If  $e(G_1) < \frac{3n_1-2}{2}$  or  $e(G_2) < \frac{3n_2-3}{2}$ , then  $e(G) < \frac{3n-3}{2}$ . If  $e(G_1) = \frac{3n_1-2}{2}$  and  $e(G_2) = \frac{3n_2-3}{2}$ , then by induction hypothesis,  $G_1 \in \mathcal{H}_{n_1}^0$ ,  $G_2 \in \mathcal{H}_{n_2}^3$ , and it follows that  $G = G_1 + G_2 \in \mathcal{H}_n^3$  and  $e(G) = \frac{3n-3}{2}$ .

If  $q_1 = 1, q_2 = 2$ , then  $e(G_1) \leq \frac{3n_1-3}{2}$  and  $e(G_2) \leq \frac{3n_2-4}{2}$ , and thus  $e(G) \leq \frac{3n-5}{2} < \frac{3n-3}{2}$ .

If  $q_1 = 1, q_2 = 3$ , then  $e(G_1) \leq \frac{3n_1-3}{2}$ ,  $e(G_2) \leq \frac{3n_2-3}{2}$ , and so  $e(G) \leq \frac{3n-4}{2} < \frac{3n-3}{2}$ .

If  $q_1 = 2, q_2 = 2$ , then  $e(G_1) \leq \frac{3n_1-4}{2}$ ,  $e(G_2) \leq \frac{3n_2-4}{2}$ , and it follows that  $e(G) \leq \frac{3n-6}{2} < \frac{3n-3}{2}$ .

If  $q_1 = 2, q_2 = 3$ , then  $e(G_1) \leq \frac{3n_1-4}{2}$ ,  $e(G_2) \leq \frac{3n_2-3}{2}$ , and so  $e(G) \leq \frac{3n-5}{2} < \frac{3n-3}{2}$ .

If  $q_1 = 3, q_2 = 3$ , by induction hypothesis,  $e(G_1) \leq \frac{3n_1-3}{2}$ ,  $e(G_2) \leq \frac{3n_2-3}{2}$ . If  $e(G_1) < \frac{3n_1-3}{2}$  or  $e(G_2) < \frac{3n_2-3}{2}$ , then  $e(G) < \frac{3n-4}{2}$ . If  $e(G_1) = \frac{3n_1-3}{2}$  and  $e(G_2) = \frac{3n_2-3}{2}$ , then by induction hypothesis,  $G_1 \in \mathcal{H}_{n_1}^3$ ,  $G_2 \in \mathcal{H}_{n_2}^3$ , and it follows that  $G = G_1 + G_2 \in \mathcal{H}_n^2$  and  $e(G) = \frac{3n-4}{2}$ .  $\square$

So, we get the following result for  $k = 4$ .

**Theorem 5.**

$$f(n; \bar{\kappa}_4 = 1) = \begin{cases} \frac{3n-2}{2} & \text{if } q = 0, \\ \frac{3n-3}{2} & \text{if } q = 1, \\ \frac{3n-4}{2} & \text{if } q = 2, \\ \frac{3n-3}{2} & \text{if } q = 3, \end{cases}$$

where  $n = 4r + q$  and  $0 \leq q \leq 3$ .

## 5 The case $k = n$

Let us turn now to the case  $k = n$ . Let  $n \geq 5$ , since  $k = 3$  and  $k = 4$  have been considered before. Observe that in this case the edge disjoint trees are the same as the internally disjoint trees.

**Theorem 6.** *Let  $G$  be a connected graph of order  $n$  such that  $\bar{\kappa}_n(G) = 1$  where  $n \geq 5$ . Then  $e(G) \leq \binom{n-1}{2} + 1$ , and with equality if and only if  $G \in \mathcal{K}_n$ .*

*Proof.* Let  $G = K_5 \setminus M$ , where  $M$  is an edge set. On one hand, to make  $\bar{\kappa}_5(G) = 1$ , by Lemma 1  $M$  should contain at least 3 edges, and then  $e(G) \leq 7$ . On the other hand, to form two edge-disjoint spanning trees,  $G$  should contain at least 8 edges, since each tree consists of at least 4 edges. Thus a graph with order 5 and size 7 belongs to  $\mathcal{K}_5$ . It suffices to verify the case  $n \geq 6$ . By Lemma 1 again, to make  $\bar{\kappa}_n(G) = 1$ ,  $e(G) \leq \binom{n}{2} - (n-2) = \binom{n-1}{2} + 1$ .

Now we show that  $\mathcal{K}_n$  contains only one graph  $K_{n-1} + K_1$ . Suppose  $H$  is a graph with order  $n$ , size  $\binom{n-1}{2} + 1$  and  $\bar{\kappa}_n(H) = 1$  but different from  $K_{n-1} + K_1$ .

We claim that  $2 \leq \delta(H) \leq n - 3$ . Otherwise, if  $\delta(H) = 1$ , then  $H = K_{n-1} + K_1$ . If  $\delta(H) \geq n - 2$ , then  $e(H) \geq \frac{(n-2)n}{2}$ ,  $H$  is obtained from  $K_n$  by deleting at most  $\frac{n}{2}$  edges. Since  $n \geq 6$ , then  $\frac{n}{2} \leq n - 3$ . By Lemma 1,  $H$  has two edge-disjoint spanning trees, a contradiction.

Let  $v$  be a vertex of  $H$  with degree equal to  $\delta(H)$ , and let  $H^* = H \setminus v$ . Since there are  $n - 1 - d(v)$  vertices not adjacent to  $v$  in  $H$  and  $H$  is obtained from  $K_n$  by deleting  $n - 2$  edges,  $H^*$  is obtained from  $K_{n-1}$  by deleting  $n - 2 - (n - 1 - d(v)) = d(v) - 1 \leq (n - 1) - 3$  edges. By Lemma 1,  $H^*$  has two edge-disjoint spanning trees  $T_1^*$  and  $T_2^*$ . By adding an edge incident with  $v$  to  $T_1^*$  and  $T_2^*$  respectively, we will obtain two edge-disjoint spanning trees of  $H$ , a contradiction. Thus  $\mathcal{K}_n$  contains only one graph  $K_{n-1} + K_1$ .  $\square$

So, we get the following result for  $k = n$ .

**Theorem 7.**  $f(n; \bar{\kappa}_n = 1) = \binom{n-1}{2} + 1$  where  $n \geq 5$ .

**Remark:** Let  $G$  be a connected graph. For  $k = 3$  and  $k = 4$ , we get the necessary and sufficient conditions for  $\bar{\kappa}_k(G) = 1$  by means of the number of ears of cycles. Naturally, one might think that this method can always be applied for  $k = 5$ , i.e., every cycle in  $G$  has at most two ears, but unfortunately we found a counterexample: Let  $G$  be a graph which contains a cycle with three independent closed ears. Set  $C = u_1u_2u_3$ ,  $P_1 = u_1v_1w_1u_1$ ,  $P_2 = u_2v_2w_2u_2$ , and  $P_3 = u_3v_3w_3u_3$ . Then,  $\bar{\kappa}_5(G) = 1$ . In fact, let  $S$  be the set of chosen five vertices. Obviously, for each  $i$ , if  $v_i$  and  $w_i$  are in  $S$ , then  $\bar{\kappa}_5(S) = 1$ . So, only one vertex in  $P_i \setminus u_i$  can be chosen. Suppose that  $v_1, v_2, v_3$  have been chosen. By symmetry,  $u_1, u_2$  are chosen. It is easy to check that there is only one tree connecting  $\{u_1, u_2, v_1, v_2, v_3\}$ . The remaining case is that all  $u_1, u_2$  and  $u_3$  are chosen. Then, no matter which are the another two vertices, only one tree can be found.

For general  $k$  with  $5 \leq k \leq n - 1$ , we can only get the following lower bound of  $f(n; \bar{\kappa}_k = 1)$ . The exact value is not easy to obtained.

**Theorem 8.**

$$f(n; \bar{\kappa}_k = 1) \geq \begin{cases} r \binom{k-1}{2} + r - 1, & \text{if } q = 0; \\ r \binom{k-1}{2} + \binom{q}{2} + r, & \text{if } 1 \leq q \leq k - 2. \end{cases}$$

for  $n = r(k - 1) + q$ ,  $0 \leq q \leq k - 2$ .

*Proof.* If  $q = 0$ , let  $G = \{K_{k-1}\}^r$ , then  $e(G) = r \binom{k-1}{2} + r - 1$ . If  $1 \leq q \leq k - 2$ , let  $G = \{K_{k-1}\}^r + K_q$ , and then  $e(G) = r \binom{k-1}{2} + \binom{q}{2} + r$ . In every case, it is easy to verify that  $\bar{\kappa}_k(G) = 1$ .  $\square$

## References

- [1] B. Bollobás, *On graphs with at most three independent paths connecting any two vertices*, Studia Sci. Math. Hungar 1(1966), 137-140.

- [2] B. Bollobás, *Extremal Graph Theory*, Academic press, 1978.
- [3] J.A. Bondy, U.S.R. Murty, *Graph Theory*, GTM 244, Springer, 2008.
- [4] G. Chartrand, S. Kappor, L. Lesniak, D. Lick, *Generalized connectivity in graphs*, Bull. Bombay Math. Colloq. 2(1984), 1-6.
- [5] J. Leonard, *On graphs with at most four edge-disjoint paths connecting any two vertices*, J. Combin. Theory, Ser.B, 13(1972), 242-250.
- [6] J. Leonard, *On a conjecture of Bollobás and Edrös*, Period. Math. Hungar. 3(1973), 281-284.
- [7] J. Leonard, *Graphs with 6-ways*, Canad. J. Math. 25(1973), 687-692.
- [8] H. Li, X. Li, Y. Mao, *On graphs with at most two internally disjoint Steiner trees connecting any three vertices*, arXiv:1210.8021 [mathCO] 2012.
- [9] S. Li, X. Li, *Note on the hardness of generalized connectivity*, J. Combin. Optim. 24(2012), 389-396.
- [10] S. Li, X. Li, W. Zhou, *Sharp bounds for the generalized connectivity  $\kappa_3(G)$* , Discrete Math. 310(2010), 2147-2163.
- [11] W. Mader, *Ein Extremalproblem des Zusammenhangs von Graphen*, Math. Z. 131(1973), 223-231.
- [12] W. Mader, *Grad und lokaler Zusammenhang in endlichen Graphen*, Math. Ann. 205(1973), 9-11.
- [13] C.St.J.A. Nash-Williams, *Edge-disjoint spanning trees of finite graphs*, J. London Math. Soc. 36(1961), 445-450.
- [14] B. Sørensen, C. Thomassen, *On  $k$ -rails in graphs*, J. Combin. Theory 17(1974), 143-159.
- [15] W. Tutte, *On the problem of decomposing a graph into  $n$  connected factors*, J. London Math. Soc. 36(1961), 221-230.